# AN13469 AWS OTA Update on i.MX RT Platform

Rev. 0 — 6 December 2021

Application Note

# 1 Introduction

This application note introduces the Over-the-Air (OTA) update progress on i.MX RT1xxx series platform based on the Amazon Web Services (AWS).

The AWS OTA update is implemented via two projects: Secure Bootloader (SBL) and Secure Firmware (SFW).

The SBL is a secure bootloader for OTA project, and it can guarantee the OTA trust chain with NXP SoC secure engine.

SFW is designed based on FreeRTOS, NXP SDK, and other functional modules. SFW can work with SBL to provide a complete secure OTA solution. It supports OTA via SD card, U-Disk, AWS, or Aliyun cloud. This application note introduces the OTA via AWS.

# 2 AWS OTA overview

The AWS OTA process is as shown in Figure 1. To update a new firmware, perform the following steps:

- 1. Upload the signed image with new version to S3 bucket.
- 2. Create an OTA update job. This job notifies RT1170 device that a firmware update is available.
- 3. The RT1170 device downloads the new image, validates it, and then updates its application code.

Now, the device is updated, the new application code runs until a new update is available, and the device updates the job status to AWS.

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## 3 AWS configuration

To configure AWS services to make an AWS OTA on i.MX RT1xxx series platform, perform the following steps:

- 1. Create an IAM role with OTA update, S3, IoT policies, and permissions.
- 2. Use OpenSSL and AWS CLI commands to create a code signing certificate.
- 3. Create an AWS IoT thing with the code signing certificate.

For detailed configuration process, see Chapter 7.3.1.1 AWS OTA Prerequisites in *MCU-OTA SBL and SFW User Guide* (document MCUOTASBLSFWUG).

# 4 AWS OTA

SFW can support AWS OTA on i.MX RT1020, RT1050, RT1060, RT1064, and RT1170 platforms. SFW cooperates with SBL to provide a complete secure OTA solution. SFW connects to AWS via Ethernet. This chapter introduces how to use SBL and SFW to implement AWS OTA on RT1170-EVK board.

Download SBL and SFW projects from below links:

- SBL: https://github.com/NXPmicro/sbl
- SFW: https://github.com/NXPmicro/sfw

### 4.1 Preparing the SBL

To download SBL project to the target board, perform the following steps:

- 1. Enter the sbl/target/evkmimxrt1170 path and double click the env.bat.
- 2. In env.bat, run the scons --menuconfig command, and the SBL configuration menu is generated, as shown in Figure 2.



Figure 2. SBL configuration menu

- 3. In the menu, to disable single image mode or MCU ISP support, disable the Enable single image function or Enable mcu isp support option. After the configuration completes, save and exit the menu.
- 4. In the env.bat file, run the scons --ide=iar command to generate sbl.eww IAR project in the sbl/target/ evkmimxrt1170/iar path.

NOTE For how to generate a keil or gcc project, see Chapter 2 Quick start in MCU-OTA SBL and SFW User Guide (document MCUOTASBLSFWUG).

5. Compile the SBL project and download it to the RT1170-EVK board.

### 4.2 Configuring the SFW

To download an SFW project to the target board, configure the SFW project based on AWS requirements as below:

- 1. Generate the aws clientcredential keys.h file.
  - a. Enter the sfw/firmware/aws ota/tool path.
  - b. Using a web browser to open the CertificateConfigurator.html.
  - c. Browse to the Certificate and Key files previously created in the Create an AWS IoT Thing part. For details, see Chapter 7.3.1.1 AWS OTA Prerequisites in MCU-OTA SBL and SFW User Guide (document MCUOTASBLSFWUG ). Click Generate and save aws\_clientcredential\_keys.h.

Certificate Configuration Tool Amazon FreeRTOS Developer Demos
Provide client certificate and private key PEM files downloaded from the AWS IoT Console.
Certificate PEM file: Choose File
Private Key PEM file: Choose File
Generate and save aws_clientcredential_keys.h
ure 3. Generating aws_clientcredential_keys.h

- d. Replace the sfw/firmware/aws\_ota/demos/include/aws\_clientcredential\_keys.h with the file generated in Step c.
- 2. Modify the aws\_ota\_codesigner\_certificate.h file.
  - a. Open the ecdsaigner.crt file generated in the Windows Pre-Requisites part using a text editor. For details, see Chapter 7.3.1.1 AWS OTA Prerequisites in *MCU-OTA SBL and SFW User Guide* (document MCUOTASBLSFWUG).
  - b. Open the sfw/firmware/aws\_ota/demos/include/aws\_ota\_codesigner\_certificate.h file.
  - c. Copy all the contents in ecdsaigner.crt and paste to aws\_ota\_codesigner\_certificate.h in the signingcredentialSIGNING\_CERTIFICATE\_PEM.

**NOTE** Be sure to add " at the begging of a line and \n" on every line break, as shown in Figure 4.

Ę	] /*
	* PEM-encoded code signer certificate *
	<pre>* Must include the PEM header and footer: * "BEGIN CERTIFICATE\n" * "base64 data\n"</pre>
	<pre>* "END CERTIFICATE\n";</pre>
	<pre>static const char signingcredentialSIGNING_CERTIFICATE_PEM[] = "BEGIN CERTIFICATE\n" "MIIBYTCCAQegAwIBAgIJAKCX9bIhki1FMAoGCCqGSM49BAMCMCMxITAfBgNVBAMM\n" "GEFsZWphbmRyYS5HdXptYW5AbnhwLmNvbTAeFw0xOTEwMjMxNjMzNDJaFw0yMDEw\n" "MjIxNjMzNDJaMCMxITAfBgNVBAMMGEFsZWphbmRyYS5HdXptYW5AbnhwLmNvbTB2\n" "MBMGByqGSM49AgEGCCqGSM49AwEHA0IABGUghBD51mF1J3wf4LYsQ2Vg0aDpg98G\n" "dNC38FWGS7owT4NC5848JumrD8SonnnXpu77Pt7ShuW39hC3Vdi7z1GjJDAiMAsG\n" "AlUdDwQEAwIHgDATBgNVHSUEDDAKBggrBgEFBQcDAzAKBggqhkjOPQQDAgNIADBF\n" "AiEAr0pNz1aMax4arCPNiW9HYFdQTvUGyZdRLcDrUo1/LQoCIH2U2REo259V7r6z\n" "CMLfHA+kWq84IjxDUE20gV60RVvC\n" "END CERTIFICATE\n";</pre>
Figure 4. Ce	rtificate format

- 3. Enter the sfw/target/evkmimxrt1170 path.
- 4. Double-click the batch file, env.bat.
- 5. In the env.bat, run the scons --menuconfig command to configure the evkmimxrt1170 project.
- 6. In the configuration menu, select MCU SFW core, disable the Enable sfw standalone xip option, enable OTA, and select AWS OTA cloud.



7. In the configuration menu, select AWS Config to enter the configuration menu, as shown in Figure 6.



- 8. In the AWS Configuration menu, input MQTT DNS name.
  - a. Open AWS IoT console website.
  - b. In the navigation pane, choose Manage → Things. Select the Thing previously created in the Create an AWS IoT Thing part. For details, see Chapter 7.3.1.1 AWS OTA Prerequisites in MCU-OTA SBL and SFW User Guide (document MCUOTASBLSFWUG).
  - c. In the navigation pane, choose Interact.

THING myThing NO TYPE	Actions -
Details	This thing already appears to be connected.
Security Thing Groups	нттря
Billing Groups	Update your Thing Shadow using this Rest API Endpoint. Learn more
Shadow	iot.us-east-1.amazonaws.com
Interact	
Activity	MQTT
Figure 7. Interact of Th	ning

d. In the AWS Configuration menu, select Set MQTT broker DNS name, copy Rest API Endpoint, as shown in Figure 7, and paste.

Please field	enter a string value. Use the <tab> key to move from the input to the buttons below it.</tab>
	.iot.us-east-1.amazonaws.com
	< Ok > < Help >

e. Select **<ok>**.

9. In the **AWS Configuration** menu, input IoT Thing name.

a. Select **Set IoT Thing name**, copy **IoT Thing** name, as shown in Figure 7, and paste.

	Set IoT Thing name Please enter a string value. Use the <tab> key to move from the input field to the buttons below it.</tab>	
	myThing	
	< Ok > < Help >	
Figure 9. 1	Thing name set	

- b. Select <ok>.
- 10. In the configuration menu, select MCU SFW Component -> secure, select enable mbedtls and modify mbedtls configuration file to aws\_mbedtls\_config.h, and then select <ok>.

	Set mbedtls config file Please enter a string value. Use the <tab> key to move from the input field to the buttons below it.</tab>	
	aws_mbedtls_config.h	
	< Ck > < Help >	
Figure 10. N	/odifying medule configuration file	

11. Exit and save the configurations.

### 4.3 Preparing image

For AWS OTA, prepare two SFW images: One is for downloading to the target board and the other is uploaded to AWS bucket for OTA upgrade. After SFW configurations complete, perform the following steps to generate an SFW image:

- 1. Enter the sfw/target/evkmimxrt1170 path and double click the batch file, *env.bat*.
- 2. To generate an iar project, input the scons --ide=iar command.

#### NOTE

To generate a keil or gcc project, see Chapter 2 Quick start in *MCU-OTA SBL and SFW User Guide* (document MCUOTASBLSFWUG).

- 3. Enter the sfw/target/evkmimxrt1170/iar path and open the sfw.eww project.
- 4. Go to Options, select Generate additional output, and choose Raw binary.

Runtime Checking		
Assembler Output Converter Custom Build Build Actions Linker Debugger Simulator CADI CMSIS DAP GDB Server I-jet J-Link/J-Trace TI Stellaris Nu-Link PE micro ST-LINK	Output Generate additional output Output format: Raw binary Output file Override default sfw.bin	
Third-Party Driver TI MSP-FET TI XDS		
Nu-Link PE micro ST-LINK Third-Party Driver TI MSP-FET TI XDS		

5. Check the application version in the sfw/firmware/aws\_ota/main\_enet.c file.

119	#define APP_VERSION MAJOR 0	
120	#define APP VERSION MINOR 9	
121	#define APP_VERSION_BUILD 2	
Figure 12. Application version		

- 6. To start building the application, click the Make button.
- 7. If the build is successful, the sfw.bin is generated in the sfw/target/evkmimxrt1170/iar/build/iar/Exe folder. Change its name according to the application version. Move sfw\_092.bin to the sbl/component/secure/mcuboot/ scripts folder.
- 8. To build a newer image for OTA, change the value of APP\_VERSION\_BUILD to 3. Rename the new bin file to sfw\_093.bin and also move it to *sbl/component/secure/mcuboot/scripts* folder.

NOTE

The image version used for OTA upgrade must be newer than the currently running image version.

	119	#define	APP_VERS	SION M	AJOR	0
	120	#define	APP_VERS	SION M	INOR	9
	121	#define	APP_VERS	SION_E	BUILD	3
Figure 13. New ver	sion					

9. To generate sfw092.bin and sfw093.bin files, sign sfw\_092.bin and sfw\_093.bin images with RSA by using the following commands.

```
python imgtool.py sign --key sign-rsa2048-priv.pem --align 4 --version "0.9.2" --header-size
0x400 --pad-header --slot-size 0x100000 --max-sectors 32 sfw_092.bin sfw092.bin
```

```
python imgtool.py sign --key sign-rsa2048-priv.pem --align 4 --version "0.9.3" --header-size 0x400 --pad-header --slot-size 0x100000 --max-sectors 32 sfw_093.bin sfw093.bin
```

### 4.4 Uploading new image to S3 bucket

After SFW image is prepared, upload the image with newer version to AWS S3 bucket.

- 1. Use AWS console to open the S3 service, https://console.aws.amazon.com/s3.
- 2. Select the bucket previously created in AWS configuration.
- 3. Click Upload.
- 4. Drag and drop sfw093.bin.
- 5. Click Upload.

### 4.5 Running the application

Before updating the OTA, download the SFW application to the target board.

1. Use the MCUBootUtility tool to download the sfw092.bin generated in Preparing image to the first slot of the board. The default location of Slot1 is the flash offset+0x100000 to flash offset+0x200000. The whole slot size is 1 MB.

File Edit View Tools Window Help	
Target Setup	Secure Boot Type DEV Unsigned Image Boot
MCU Series: I MYRT	1
MCIDation	Image Generation Sequence Image Loading Sequence eFuse Operation Utility Boot Device Memory
i.MXRT117x V	Start / Offset:         0x100000         Byte Length (For Read/Write):         0x2000         Bin File:         C:\Users\nxf55067\D         Browse
Boot Device: FLEXSPI NOR ~	Read Erase Write (Auto Erase) Execute From Start
Boot Device Configuration	3
Device Configuration Data (DCD)	
Port Setup	
UART   USB-HID	
Vendor ID: 0x15A2 ~	
Product ID: 0x0073	
0.0010	
🔵 🗹 One Step	
Reset device	
	V
Device Status	View Bootable Image Clear The Screen Save image/data file to Browse
Fuse BOOT_CFGx ^	
IOMUXC GPR->GPR16 =	Log
FlexSPI NOR memory	Executing C:\_small_tools\NXP-MCUBootUtility-2.3.0\tools\blhost2_3\win\blhost -t 5242000 -u 0x15A2,0x0073 -j  read-memory 005207202 1024 C-\_emplt tools\NVP-MCUBootUtility-2.2 0ttools\blhost -t 5242000 -u 0x15A2,0x0073 -j  Clear
Page Size = 256 Bytes Sector Size = 4 KB	\flexspiNorCfg.dat 9
Block Size = 64 KB	
~	0000830

2. After successfully downloading the image, to start the project, insert the Ethernet cable to the 1 G port (J4) on the RT1170-EVK board and press the **Reset** button. The serial terminal prints the application log, as shown in Figure 15.

The serial terminal displays **The image now in PRIMARY\_SLOT slot** and **Getting IP address from DHCP...**, indicating that the program in Slot 1 is running successfully. **IPv4 Address: 192.168.8.106** and **OTA demo version 0.9.2** indicate the successful network connection and the version of the current application.



 When running the application, wait until the OTA State Ready message is shown on the serial terminal, as shown in Figure 16. This message means that the OTA agent is ready and is waiting for an OTA job.

54 28610 [iot_thread] Hello world1.	State: WaitingForJob	Received: 1	Queued: 0	Processed: 0	Dropped: 0	
Hello world2. 55 29610 [iot_thread] Hello world1	State: WaitingForJob	Received: 1	Queued: 0	Processed: 0	Dropped: 0	
Hello world2. 56 30610 [iot_thread]	State: WaitingForJob	Received: 1	Queued: 0	Processed: 0	Dropped: 0	
Hello world1. Hello world2.						
Figure 16. OTA ready log						

Now, the whole preparation is complete and the AWS OTA update job is started to implement OTA process.

### 4.6 OTA update process

To implement the OTA process via AWS OTA, perform the following steps to create a job.

- 1. Open the AWS IoT console website.
- 2. In the navigation pane, choose Manage -> Jobs.
- 3. Select Create job.
- 4. Choose Create FreeRTOS OTA update job and then click Next.
- 5. Input Job name and then click Next.

ОТ	A job properties Info	
Jc	bb properties	
Jo En De	b name DTAUpdateJob ter a unique name without spaces. Valid characters: a-z, A-Z, 0-9, - (hyphen), and _ (underscore) escription - optional Enter job description	
•	Tags - optional	
	Cancel Nex	t
Figure 17. Inputtir	ng job name	

6. Choose Thing created in AWS configuration. Then, choose MQTT and Sign a new file for me.

This OTA update job will send your file securely over MQTT or HTTP to the FreeRTOS-based things and/or the thing groups that you choose.	
Devices to update	
Choose things and/or thing groups	
Select the protocol for file transfer Select the protocol that your device supports. MQTT HTTP File Info	
Sign and choose your file         Code signing ensures that devices only run code published by trusted authors and that the code hasn't been changed or corrupted since it was signed. You have three options for code signing.         Sign a new file for me.       Choose a previously signed	
igure 18. Selecting Thing, protocol and file	

- 7. Under Code signing profile, choose Create new profile.
- 8. Enter a name for the code-signing profile.
  - a. Under Device hardware platform, choose Windows Simulator.

	Create a code signing profile		
P	Profile name		
	myOTACodeSigning		
E	Enter a unique name without spaces. Valid characters: a-z, A-Z, 0-9, and _ (underscore)		
۵	Device hardware platform		
- F	Windows Simulator		

b. Under **Code signing certificate**, choose **Import new code signing certificate**, browse for the certificate files created with AWS CLI in AWS configuration, and then choose **Import**.

Code signing certificate AWS Certificate Manager (ACM) handles the cor certificates. You can use ACM to create an ACM use for signing. You must have a certificate to s	mplexity of creating, managing, or importing SSL/TLS Certificate or import a third-party certificate that you ion	
• Import new code signing certificate	<ul> <li>Select an existing certificate</li> </ul>	
Certificates		
Certificate body  Choose file  Certificate private key  Certificate private key		
Certificate chain - optional		
Choose file		

c. Under Pathname of code signing certificate on device, type the default path/certificates/authcert.pem, and then click Create.

Path name of code signing cert This is the name and location of the OTA image signature verification.	ficate on device ertificate that your FreeRTOS device firmware uses to perform		
/certificates/authcert.pem			
	┘		
	Cancel Creat	te	
Figure 21. Pathname of certificate			

9. Under File, choose Select an existing file. Then, choose Browse S3 and select sfw093.bin file previously uploaded in S3.

NOTE

Make sure that the region is the correct one where the bucket is located. Otherwise, the uploaded binary can't be found.

File	
<ul> <li>Upload a new file.</li> </ul>	Select an existing file.
S3 URL	
Q s3:////sfw093.bin Format: s3://bucket/prefix/object.	X View 🖸 Browse S3
Figure 22. Selecting image	

10. Under Pathname of file on device, type the default path /device/updates.

	Path name of file on device This is the name and location where the file will be stored on the FreeRTOS device.		
	/device/updates		
Figure 23. Pathname of file			

11. Under IAM role, choose the role created in AWS configuration.

	IAM role Info
	Role Choose a role that grants AWS IoT access to S3, AWS IoT jobs, and AWS Code signing resources.
	Choose an IAM role
Figure 24. S	Selecting a role

- 12. Choose Next.
- 13. Under Job run type, choose the first item and then click Create job.

(	OTA job configuration Info	
	Job run type Choose how to run this job.	
	<ul> <li>Your job will complete after deploying to the devices and groups that you chose (snapshot)</li> <li>Your job will continue to deploy to any devices added to the groups that you chose (continuous)</li> </ul>	
	Job start rollout configuration - optional Specify how quickly devices will be notified when a pending job starts.	
	Job stop configuration - optional These configurations define when to automatically stop the job. The job stops if a percentage of devices fail the deployment after a minimum number have deployed. The job cancels if any of the criteria are met after the job starts.	
	Job run timeout configuration - optional Specify how long the job will run.	
	Cancel Back Create job	
Figure 25. Jo	b run type	

- 14. The OTA process starts and the serial terminal outputs are shown as below.
  - a. Transfer the file.



b. Receive the whole file.

#### 2254 158969 [OTA Agent Task] [OTA-NXP] WriteBlock 74000 : 2c7 2255 158976 [OTA Agent Task] [prvIngestDataBlock] Received final expected block of file.

Figure 27. Received whole file log

c. Check the file signature.



d. Check the image version.



Figure 29. Image version check log

e. Write the update type.

2282 158200 [OTA Agent Task] [OTA-NXP] Write update type write update type = 0x3

Figure 30. Write update type log

f. Write the image trailer.

2283 158208 [OTA Agent Task] [OTA-NXP] Write image trailer write magic number offset = 0xffff0

Figure 31. Write image trailer log

g. Active the new image and the device resets.

2284 158218 [OTA Agent Task] [OTA-NXP] ActivateNewImage 2285 158224 [OTA Agent Task] [OTA-NXP] ResetDevice

Figure 32. Active new image log

h. Run the new image.

bollow dia	
netto sol. Bootloader Version θ.θ.1 Remap type: test	
The image now in SECONDARY_SLOT slot	
Botloader chailead address offset: 0x100000 Reset Mandler in Other first: 0x100400 hello stri hots init done This example to demonstrate how to use U-Disk to implement ota. Hello world1. Hello world2. Initializing PMr This example to demonstrate how to use SD card to implement ota. 0 49 [Tmr Svc] Write certificate 2 1772 [Tmr Svc] PMCP 0K 3 1772 [Tmr Svc] DMCP 0K	
5 17191 [iot_thread] [INFO ][INIT][17191] SOK successfully initialized. 6 17192 [iot_thread] [INFO ][DMD0][17122] Successfully initialized the demo. Network type for the d7 17193 [iot_thread] [INFO ][MQTT][17193] MQTT library succ 6 17112 [initialized] 6 17112 [initialized] 6 17112 [iot_thread] Creating MQTT Client	
Figure 33. Run new image log	

i. Perform the self-test.

56 27756 [0TA Ağent Task] [prv0TAAgentTask] Called handler. Current State [WaitingForJob] Event [Re57 27765 [0TA Agent Task] [prvInSelfTestHandler] prvInSelfT estHandler, platform is in self-test.] 58 27774 [0TA Agent Task] [0TA-NXP] GetPlatformImageState 59 27781 [0TA Agent Task] [0TA-NXP] ota status = 0x]

Figure 34. Self-test log

j. Write the OK flag.

64 27814 [OTA Agent Task] [OTA-NXP] ota\_status = 0x1 Write OK flag: off = 0xfffe0

Figure 35. Write OK flag log

k. OTA succeeds.



Now go back to the AWS IoT console website to view the status of the OTA job. The **Completed** status of **Job details** with **Succeeded** status of **Job executions** indicate the success of this OTA update.

15. After OTA succeeds, press the **Reset** button on the board to double confirm whether OTA update is successful. If the update is successful, the sfw093.bin log is printed, as shown in Figure 37.

	<pre>hello sbl. Bodiloader Version 0.0.1 Kemap (version 0.0.1 Kemap (ver</pre>
	12.26247 [Int Thread] [Int 0 [INt 0 [INT][26247] Anomymous metrics (SIK Language, SIK version) will be prid 26280 [Int [Int 0 [INT 0] [INT][2523] (WDT connection 20206020) WIA 25592 [Int Thread] [Int 0 [INT 0] [INT][2533] (WDT connection 202067) will 25554 [Int Thread] [Int 0 [INT][2533] (WDT connection 202067) will 25554 [Int Thread] [Int 0 [INT][2533] (WDT connection 202067) will 25554 [Int Thread] [Int 0 [INT][2533] (WDT connection 202067) will 25554 [Int Thread] [Int 0 [INT][2533] (WDT connection 202067) will 25554 [Int Thread] [Int 0 [INT][2533] (WDT connection 202067) will 25554 [Int Thread] [Int 0 [INT][2533] (WDT connection 202067) will 25554 [Int Thread] [Int 0 [INT][2533] (WDT connection 202067) will 25554 [Int Thread] [Int 0 [INT][2533] (WDT connection 202067) will 25554 [Int Connection 202067] w
Figure 37.	New image log

## **5** References

- 1. SBL project
- 2. SFW project

# 6 Revision history

Revision number	Date	Substantive changes
0	6 December 2021	Initial release

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