1. General description

1.1 CMOS14 SmartMX family features overview

The CMOS14 SmartMX family members are a modular set of devices featuring:

- 16 kB, 20 kB, 40 kB and 80 kB EEPROM
- ROM memory size extended to 264 kB
- RAM memory size extended to 7.5 kB (CXRAM 5 kB, FXRAM 2.5 kB)
- High-performance secure Public Key Infrastructure (PKI) coprocessor (RSA, ECC)
- Secure dual/triple-DES coprocessor
- Secure AES coprocessor
- Memory Management Unit (MMU)
- ISO/IEC 7816 contact interface
- Optional ISO/IEC 14443 A Contactless Interface Unit (CIU)
- EEPROM with typically 500,000 cycles endurance and a minimum of 25 years retention time
- Broad spectrum of delivery types
- Optional certified crypto library modules for RSA, ECC, DES, AES, SHA and PRNG

The P5CD016/041/081 and P5CC081 products provide improved SmartMX family performance with the following additional features:

- CPU kernel accelerated by factor 2, at the same time maintaining full instruction compatibility
- FameXE coprocessor (clock up to 72 MHz) with reduced power consumption in all three voltage classes
- Memory Management Unit (MMU) with 8 instead of 5 cache segments
- Full binary ROM Code compatibility to P5Cx012/02x/040/073/080/144 family

1.2 CMOS14 SmartMX family properties

The long-established CMOS14 SmartMX family features a significantly enhanced secure smart card IC architecture. Extended instructions for Java and C code, linear addressing, high speed at low power and a universal memory management unit are among many other improvements added to the classic 80C51 core architecture. In the P5CD016/041/081 and P5CC081 product family, NXP Semiconductors’ proven
Secure_MX51 processor core has been further optimized over the existing version in 0.14 μm CMOS technology. Therefore, these products now offer improved CPU speed, leading to shorter overall transaction times. At the same time, the FameXE cryptography coprocessor has been optimized for even lower power operation, while keeping its performance at the same industry-leading level.

The availability of both contact interface and contactless or S²C interface enable the easy implementation of native or open platform and multi-application operating systems in market segments such as banking, E-passports, ID cards, Health cards, secure access, Java cards as well as Trusted Platform Modules (TPM).

1.3 Naming conventions

<table>
<thead>
<tr>
<th>P5xyzz</th>
<th>SmartMX platform</th>
</tr>
</thead>
<tbody>
<tr>
<td>x</td>
<td>Type of category:</td>
</tr>
<tr>
<td>C</td>
<td>PKI controller + triple-DES coprocessor + AES coprocessor on selected products</td>
</tr>
<tr>
<td>y</td>
<td>Interface options:</td>
</tr>
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<td>C</td>
<td>contact interface - ISO/IEC 7816</td>
</tr>
<tr>
<td>D</td>
<td>dual interface - ISO/IEC 7816 + ISO/IEC 14443 contactless interface</td>
</tr>
<tr>
<td>N</td>
<td>ISO/IEC 7816 + S²C interface for NFC</td>
</tr>
<tr>
<td>zzz</td>
<td>Amount of non-volatile memory in kB, increasing count for further product options</td>
</tr>
</tbody>
</table>

1.4 Cryptographic hardware coprocessors

1.4.1 FameXE coprocessor

The approved and modular FameXE architecture supports the trend of increasing RSA keys with faster execution speeds as well as Elliptic Curve Cryptography (ECC) based on GF(p) or GF(2^n) at best performance. FameXE supports RSA with an operand length of up to 8-kbit (up to 4-kbit with intermediate storage in RAM only).

The now further reduced power-consumption FameXE PKI coprocessor supports 192-bit ECC key length that offers the same level of security as 2048-bit RSA. An ECC GF(2^n) based signature, using a 163-bit key can be executed in less than 30 ms providing a security level comparable to 1024-bit RSA. The operand size for ECC, supported by FameXE, is only limited by the 2.5 kB size of the FXRAM. FameXE operates at up to 72 MHz, is easy to use and the flexible interface provides programmers with the freedom to implement their own cryptography solutions. A secure and CC EAL5+ certified crypto library providing a large range of required functions will be available for all devices in order to support customers in implementing public key-based solutions.

1.4.2 Triple-DES coprocessor

The DES widely used for symmetric encryption is supported by a dedicated, high performance, highly attack-resistant hardware coprocessor. Single DES and triple-DES, based on two or three DES keys, can be executed within less than 40 μs. Relevant standards (ISO/IEC, ANSI, FIPS) and Message Authentication Code (MAC) are fully supported. A secure crypto library element for DES is available.
1.4.3 AES coprocessor

SmartMX is the first smart card microcontroller platform to provide a dedicated high performance 128-bit parallel processing coprocessor to support secure AES. The implementation is based on FIPS197 as standardized by the National Institute for Standards and Technology (NIST), and supports key lengths of 128-bit, 192-bit, and 256-bit with performance levels comparable to DES. AES is the next generation for symmetric data encryption and recommended successor to DES providing significantly improved security level. A secure crypto library element for AES is available.

1.5 SmartMX interfaces

1.5.1 SmartMX contact interface

Operating in accordance with ISO/IEC 7816, the SmartMX contact interface is supported by a built-in Universal Asynchronous Receiver/Transmitter (UART), which enables data rates of up to 1 Mbit/s allowing for the automatic generation of all typical baud rates and supports transmission protocols T=0 and T=1. Up to two additional I/Os are available.

1.5.2 SmartMX contactless interface

The optional contactless interface is fully compatible with ISO/IEC 14443 A as well as NXP Semiconductors’ field proven MIFARE technology. A dedicated Contactless Interface Unit (CIU) manages and supports communication using data rates up to 848 kbit/s. A true anti-collision method (in accordance with ISO/IEC 14443-3) enables multiple cards to be handled simultaneously.

The optional MIFARE functionality provided in configurations B1 (MIFARE 1 K emulation) and B4 (MIFARE 4 K emulation) safeguard the interface compatibility with any installed MIFARE infrastructure. The ability to run the MIFARE protocol concurrently with other contactless transmission protocols implemented by the user OS (T=CL or self defined) enables the combination of new services and existing applications based on MIFARE (e.g. ticketing) on a single dual interface controller-based smart card.

A tutorial software library for ISO/IEC 14443-3 and ISO/IEC 14443-4 is available to support NXP Semiconductors’ customers for easy integration of the contactless technology into current system solutions.

A tutorial software library for ISO/IEC 14443-3 and ISO/IEC 14443-4 is available to support NXP Semiconductors’ customers for easy integration of the contactless technology into current system solutions.

The input capacitance can be factory configured for either standard loop antennas or for smaller antennas (such as “ID1/2” antennas). This is accomplished by setting the device input capacitance to either the standard value or to a higher value.

1.5.3 SmartMX S²C interface

The S²C interface is intended for use with NXP Semiconductors NFC circuits (e.g. PN544) in order to configure secure NFC systems, for example in mobile hand sets.

Operated both in Contact mode (ISO/IEC 7816) and in S²C mode, the user defines the final function of the controller chip with its operating system. This allows the same level of security, functionality and flexibility for the contact interface and the S²C interface.
The S²C interface is connected to the internal ISO/IEC 14443 CIU. The CIU handles the demodulation and the modulation of the S²C signals which enables a full contactless communication via this interface, and the NFC front-end can be enabled. As the S²C interface is connected to the CIU, the power to the P5CN081 must be supplied via the VDD and VSS pads in order to use the S²C interface. The S²C interface does not need any software adaptation compared to normal contactless operation.

When connected to the S²C interface of a NFC front-end, the device is compatible with existing MIFARE reader infrastructure, and the optional emulation modes of MIFARE 1 K or MIFARE 4 K enable fast system integration and backward compatibility to MIFARE based cards. The communication on the S²C interface supports both the ISO/IEC 14443 A part 3 and the ISO/IEC 14443 part 4.

1.6 Security features

SmartMX incorporates a wide range of both inherent and OS-controlled security features as a countermeasure against all types of attack. NXP Semiconductors apply their extensive knowledge of chip security, combined with handshaking circuit technology, very dense 5-metal layer 0.14 μm technology, glue logic and active shielding methodology for optimum results in CC EAL5+, EMVCo and other third-party certifications and approvals.

SmartMX Memory Management Unit (MMU), designed to define various memory segments and assign security attributes accordingly, supports a strong firewall concept that keeps different applications separate from each other. Only the System mode has full access privileges to all memory space and on-chip peripherals, while the User mode only has privileges defined upon card personalization and executed under the control of the System mode.

Secure Fetch technology significantly enhances the chip hardware security against certain classes of attack using light directed at chip hardware. More specifically, Secure Fetch offers increased protection against attacks using higher spatial resolution and those using shorter and longer light pulses with both single and multiple pulses. It protects both the device memory and ROM, RAM and EEPROM code fetching operations, greatly increasing the probability of detecting fault injection attacks.

This unique security technology offers increased protection against future attack scenarios that use light and laser sources, facilitating the development of highly secure software applications for customers.

The SmartMX security features are acknowledged as having outstanding properties by most NXP Semiconductors’ customers. The countermeasures against light attacks are regarded as “best-in-class”.

1.7 Security evaluation and certificates

The certification target is CC EAL5+. Third-party approval such as EMVCo (VISA, CAST), ZKA and others, depending on the application requirements, are in preparation.

NXP Semiconductors continues to drive forward third-party security evaluations to provide its customers with the relevant information and documentation needed to execute subsequent composite evaluations of implemented applications.
1.8 Security licensing
In addition to the various intellectual properties regarding attack resistance of the NXP Semiconductors’ owned SmartMX family, NXP Semiconductors has obtained a patent license for SPA and DPA countermeasures from Cryptography Research Incorporated (CRI). This license covers both hardware and software countermeasures. It is important to customers that countermeasures within the operating system are covered under this license agreement with CRI. Further details are available on request.

1.9 Optional crypto library
NXP Semiconductors offer an optional crypto library for all family types:

- Various algorithms
  - AES encryption and decryption using the AES coprocessor
  - DES and triple-DES encryption and decryption using the DES coprocessor
  - RSA encryption and decryption, signature generation and verification for straightforward and CRT keys up to 5024 bits
  - RSA key generation
  - ECC over GF(p) signature generation and verification (ECDSA) and Diffie-Hellman key exchange for keys up to 544 bits
  - ECC over GF(p) key generation
  - ECC over GF(2^n) signature generation and verification (ECDSA) and Diffie-Hellman key exchange for keys up to 571 bits
  - ECC over GF(2^n) key generation
  - SHA-1, SHA-224 and SHA-256 hash algorithm
  - Pseudo-Random Number Generator (PRNG)

- Easy to use API for all algorithms
- Secure operation in contact as well as in the contactless mode
- Latest built-in security features to avoid power (SPA/DPA), timing and fault attacks (DFA)
- Common criteria CC EAL5+ certification available [except ECC over GF(2^n)] in accordance with BSI-PP-0002 protection profile
2. Features and benefits

2.1 Standard family features

- EEPROM: choice of 16 kB, 20 kB, 40 kB or 80 kB
  - Data retention time: 25 years minimum
  - Endurance: 500000 cycles typical
- ROM: 264 kB
- RAM: 7680 B
  - 256 B IRAM + 4.75 kB standard RAM usable for CPU
  - 2560 B FXRAM usable for FameXE
- Dedicated, Accelerated Secure_MX51 smart card CPU (Memory eXtended/enhanced 80C51)
  - 5-metal layer 0.14 μm CMOS technology
  - Operating in Contact and Contactless mode (dependent on family type option)
  - Featuring a 24-bit universal memory space, 24-bit program counter
  - Combined universal program and data linear address range up to 16 MB
  - Additional instructions to improve:
    - pointer operations
    - performance
    - code density of both C and Java source code
- ISO/IEC 7816 contact interface
- ISO/IEC 14443 contactless interface
- PKI coprocessor FameXE
  - Support of major Public Key Cryptography (PKC) systems such as RSA, Elgamal, DSS, Diffie-Hellman, Guillou-Quisquater, Fiat-Shamir and Elliptic Curves
    - 8192 bits maximum key length for RSA with randomly chosen modulus
    - 4096 bits maximum key length for calculation within RAM
    - 32-bit interface
    - Boolean operations for acceleration of standard, symmetric cipher algorithms
  - High speed triple-DES coprocessor (64-bit parallel processing DES engine)
    - Two or three keys loadable
    - DES3 performance < 40 μs
  - High speed AES coprocessor (128-bit parallel processing AES engine)
  - Memory Management Unit (MMU) with increased number of 8 cache segments
  - Low power and low voltage design using NXP Semiconductors’ handshaking technology
  - Multiple source vectorized interrupt system with four priority levels
  - Watch exception provides software debugging facility
  - Multiple source RESET system
  - Two 16-bit timers
  - Highly reliable EEPROM for both data storage and program execution
  - Bytewise EEPROM programming and read access
  - Versatile EEPROM programming of 1 B to 64 B at a time or, optionally 1 B to 128 B at a time
2.2 Product specific family features

- **P5CC081**
  - ISO/IEC 7816 contact interface
  - Two additional I/O ports: IO2 and IO3 for full-duplex serial data communication

- **P5CD016, P5CD021, P5CD041 and P5CD081**
  - CIU fully compatible with ISO/IEC 14443A:
    - 13.56 MHz operating frequency
    - fully supports the T=CL protocol in accordance with ISO/IEC 14443-4
    - factory configurable for higher input capacitance to match smaller loop antennas
    - supported data transfer rates: 106 kbit/s, 212 kbit/s, 424 kbit/s and 848 kbit/s
    - MIFARE reader infrastructure compatibility via optional MIFARE 1 K or 4 K emulation including built-in anticollision support
  - Two additional I/O ports: IO2 and IO3 for full-duplex serial data communication

- **P5CN081**
  - S²C interface
  - One additional I/O port: IO2 for optional proprietary use
2.3 Security features

- Enhanced security sensors:
  - Low and high clock frequency sensor
  - Low and high temperature sensor
  - Low and high supply voltage sensor
  - Single Fault Injection (SFI) attack detection
  - Light sensors (included integrated memory light sensor functionality)
- Secure Fetch technology, protecting ROM, RAM and EEPROM code fetch operations
- Electronic fuses for safeguarded mode control
- Active shielding
- Unique ID for each die
- Clock input filter for protection against spikes
- Power-up and power-down reset
- Optional programmable card disable feature
- Memory security (encryption and physical measures) for RAM, EEPROM and ROM
- Memory Management Unit (MMU) including memory protection:
  - Secure multi-application operating systems via two different operating modes:
    - System mode and User mode
  - OS-controlled access restriction mechanism to peripherals in User mode
  - Memory mapping up to 8 MB code memory
  - Memory mapping up to 8 MB data memory
- Optional disabling of ROM read instructions by code executed in EEPROM
- Optional disabling of any code execution out of RAM
- EEPROM programming:
  - No external clock
  - Hardware sequencer controlled
  - On-chip high voltage generation
  - Enhanced error correction mechanism
- 64 B or 128 B EEPROM for customer-defined security FabKey, featuring batch-, wafer- or die-individual security data, included encrypted diversification features on request
- 14 B user write-protected security area in EEPROM (byte access, inhibit functionality per byte)
- 32 B write-once security area in EEPROM (bit access)
- 32 B user read-only area in EEPROM (byte access)
- Customer-specific EEPROM initialization available
2.4 Design-in support

- Approved development tool chain:
  - Keil PK51 development tool package including μVision3/dScope C51 simulator, additional specific hardware drivers including simulation of contactless interface and ISO/IEC 7816 card interface board. A SmartMX DBox allows software debugging and integration tests.
  - Dual interface dummy modules OM6711 (PDM 1.1 - SOT658) with special antenna bonding on C4 and C8 for testing the implanting process and antenna connection.

- Software libraries:
  - Libraries supporting contactless communication in accordance with ISO/IEC 14443, part 3 and 4
  - T=1 communication in accordance with ISO/IEC 7816, part 3
  - EEPROM read/write routines

3. Applications

3.1 Application areas

- Banking
- Java cards
- E-passports
- ID cards
- Secure access
- Trusted platform modules

4. Quick reference data

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Parameter</th>
<th>Conditions</th>
<th>Min</th>
<th>Typ</th>
<th>Max</th>
<th>Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>$V_{DD}$</td>
<td>supply voltage</td>
<td>class A: 5 V range</td>
<td>4.5</td>
<td>5.0</td>
<td>5.5</td>
<td>V</td>
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<td>Class C: 1.8 V range</td>
<td>1.62</td>
<td>1.8</td>
<td>1.98</td>
<td>V</td>
</tr>
</tbody>
</table>

EEPROM

| $t_{ret}$ | retention time | $T_{amb} = +55 \, ^\circ C$ | 25 | - | - | years |
| $N_{endu(W)}$ | write endurance | under all operating conditions | $5 \times 10^5$ | - | - | cycles |

[1] All described supply voltages are in accordance with ISO/IEC 7816-3.
## 5. Ordering information

### Table 3. Ordering information

<table>
<thead>
<tr>
<th>Type number</th>
<th>Package Name</th>
<th>Description</th>
<th>Version</th>
</tr>
</thead>
<tbody>
<tr>
<td>P5CC081UA</td>
<td>FFC</td>
<td>8 inch wafer (sawn; 150 μm thickness; on film frame carrier; electronic fail die marking according to SECSII format)</td>
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</tr>
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<td></td>
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<td>P5CD041UA</td>
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<td></td>
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</tr>
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<td>not applicable</td>
</tr>
<tr>
<td>P5CD021UE</td>
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<tr>
<td>P5CD041UE</td>
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<td></td>
</tr>
<tr>
<td>P5CD081UE</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>P5CD016HN</td>
<td>HVQFN32</td>
<td>plastic thermal enhanced very thin quad flat package; no leads; 32 terminals; body 5 × 5 × 0.85 mm</td>
<td>SOT617-1</td>
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<td>P5CD016A4</td>
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<td>P5CC081XS</td>
<td>PCM1.1</td>
<td>contact chip card module (super 35 mm tape format, 8-contact)</td>
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Table 4. Feature table

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Dual interface

Contact

Contact + S²C interface for NFC

ISO/IEC 7816

FameXE

DES

AES

IO pads

P5CD016/021/041 and P5Cx081
Secure dual interface and contact PKI smart card controller
6. Functional diagram

Fig 1. Functional diagram P5CC081
Fig 2. Functional diagram P5CD016/P5CD021/P5CD041/P5CD081
NXP Semiconductors P5CD016/021/041 and P5Cx081

Secure dual interface and contact PKI smart card controller

Fig. 3 Functional diagram P5CN081

- Fig. 3. Functional diagram P5CN081
7. Limiting values

Table 5. Limiting values

In accordance with the Absolute Maximum Rating System (IEC 60134). Voltages are referenced to VSS (ground = 0 V).

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Parameter</th>
<th>Conditions</th>
<th>Min</th>
<th>Max</th>
<th>Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>VDD</td>
<td>supply voltage</td>
<td>any signal pad</td>
<td>-0.5</td>
<td>+6.0</td>
<td>V</td>
</tr>
<tr>
<td>VI</td>
<td>input voltage</td>
<td>pad IO1, IO2 or IO3</td>
<td>-</td>
<td>±15.0</td>
<td>mA</td>
</tr>
<tr>
<td>II</td>
<td>input current</td>
<td>pad IO1, IO2 or IO3</td>
<td>-</td>
<td>±15.0</td>
<td>mA</td>
</tr>
<tr>
<td>IO</td>
<td>output current</td>
<td>pad IO1, IO2 or IO3</td>
<td>-</td>
<td>±15.0</td>
<td>mA</td>
</tr>
<tr>
<td>IU</td>
<td>latch-up current</td>
<td>V1 &lt; 0 V or V1 &gt; VDD</td>
<td>-</td>
<td>±100</td>
<td>mA</td>
</tr>
<tr>
<td>VESD</td>
<td>electrostatic discharge</td>
<td>pads VDD, VSS, CLK, RST_N, IO1, IO2, IO3</td>
<td>-</td>
<td>±4.0</td>
<td>kV</td>
</tr>
<tr>
<td>Ptot</td>
<td>total power dissipation</td>
<td>pins LA, LB</td>
<td>-</td>
<td>±2.0</td>
<td>kV</td>
</tr>
<tr>
<td>Tstg</td>
<td>storage temperature</td>
<td></td>
<td>-</td>
<td>1</td>
<td>W</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>-</td>
<td>-</td>
<td>°C</td>
</tr>
</tbody>
</table>

[1] MIL Standard 883-D method 3015; human body model; C = 100 pF, R = 1.5 kΩ; Tamb = –25 °C to +85 °C.
### 8. Abbreviations

<table>
<thead>
<tr>
<th>Acronym</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>AES</td>
<td>Advanced Encryption Standard</td>
</tr>
<tr>
<td>API</td>
<td>Application Programming Interface</td>
</tr>
<tr>
<td>CIU</td>
<td>Contactless Interface Unit</td>
</tr>
<tr>
<td>CRC</td>
<td>Cyclic Redundancy Check</td>
</tr>
<tr>
<td>CRT</td>
<td>Chinese Remainder Theorem</td>
</tr>
<tr>
<td>DES</td>
<td>Digital Encryption Standard</td>
</tr>
<tr>
<td>DFA</td>
<td>Differential Fault Analysis</td>
</tr>
<tr>
<td>DPA</td>
<td>Differential Power Analysis</td>
</tr>
<tr>
<td>DSS</td>
<td>Digital Signature Standard</td>
</tr>
<tr>
<td>ECC</td>
<td>Elliptic Curve Cryptography</td>
</tr>
<tr>
<td>ECDSA</td>
<td>Elliptic Curve Digital Signature Algorithm</td>
</tr>
<tr>
<td>EEPROM</td>
<td>Electrically Erasable Programmable Read-Only Memory</td>
</tr>
<tr>
<td>GF</td>
<td>Galois Function</td>
</tr>
<tr>
<td>I/O</td>
<td>Input/Output</td>
</tr>
<tr>
<td>MAC</td>
<td>Message Authentication Code</td>
</tr>
<tr>
<td>MMU</td>
<td>Memory Management Unit</td>
</tr>
<tr>
<td>OS</td>
<td>Operating System</td>
</tr>
<tr>
<td>PKC</td>
<td>Public Key Cryptography</td>
</tr>
<tr>
<td>PKI</td>
<td>Public Key Infrastructure</td>
</tr>
<tr>
<td>PRNG</td>
<td>Pseudo-Random Number Generator</td>
</tr>
<tr>
<td>RNG</td>
<td>Random Number Generator</td>
</tr>
<tr>
<td>RSA</td>
<td>Rivest, Shamir and Adleman</td>
</tr>
<tr>
<td>S²C</td>
<td>SigIn-SigOut-Connection</td>
</tr>
<tr>
<td>SFI</td>
<td>Single Fault Injection</td>
</tr>
<tr>
<td>SHA</td>
<td>Secure Hash Algorithm</td>
</tr>
<tr>
<td>SMD</td>
<td>Surface Mounted Device</td>
</tr>
<tr>
<td>SPA</td>
<td>Simple Power Analysis</td>
</tr>
<tr>
<td>TPM</td>
<td>Trusted Platform Module</td>
</tr>
<tr>
<td>UART</td>
<td>Universal Asynchronous Receiver/Transmitter</td>
</tr>
</tbody>
</table>
9. Revision history

Table 7. Revision history

<table>
<thead>
<tr>
<th>Document ID</th>
<th>Release date</th>
<th>Data sheet status</th>
<th>Change notice</th>
<th>Supersedes</th>
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<tr>
<td>P5CD016_021_041_Cx081_FAM_SDS_1</td>
<td>20100302</td>
<td>Product short data sheet</td>
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10. Legal information

10.1 Data sheet status

<table>
<thead>
<tr>
<th>Document status</th>
<th>Product status</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Objective [short]</td>
<td>Development</td>
<td>This document contains data from the objective specification for product development.</td>
</tr>
<tr>
<td>Preliminary [short]</td>
<td>Qualification</td>
<td>This document contains data from the preliminary specification.</td>
</tr>
<tr>
<td>Product [short]</td>
<td>Production</td>
<td>This document contains the product specification.</td>
</tr>
</tbody>
</table>

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